

No: 136/2019/PHS-BTKCT

Re: *Information Disclosure on the  
appointment of Deputy Director cum Head  
of Phu My Hung Trading Office*

*Ho Chi Minh City, August 16<sup>th</sup>, 2019*

**INFORMATION DISCLOSURE ON WEBSITE OF STATE SECURITIES  
COMMISSION AND THE STOCK EXCHANGES**

**To:**

- **State Securities Commission;**
- **Ha Noi Stock Exchange;**
- **Ho Chi Minh Stock Exchange.**

Name: **PHU HUNG SECURITIES CORPORATION (PHS)**

Headquarters: 3<sup>rd</sup> Floor, CR3-03A, 109 Ton Dat Tien, Tan Phu Ward, District 7, Ho Chi Minh City.

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Person to implement information disclosure: Mr. Chen Chia Ken

Type of information disclosure:  24 hours  72 hours  Unusual  Periodic

**Information of disclosed content:**

According to Article 9 of Circular 155/2015/TT-BTC on guidance for information disclosure on securities market, PHS sincerely discloses the information on appointing Mr. Nguyen Quoc Dai as Deputy Director cum Head of Phu My Hung Trading Office.

Mr. Nguyen Quoc Dai's appointment has been approved by Board of Directors in the Resolution No. 03/42\_0719/NQ-HĐQT dated August 15<sup>th</sup>, 2019, and General Director has signed the Decision No. 168/2019/QĐ-TGD dated August 16<sup>th</sup>, 2019 on appointment of Mr. Nguyen Quoc Dai (*Please refer the attachment as stated below*).

The information is disclosed on PHS's website at <https://www.phs.vn>, tab Investor Relations, and section Shareholder Information.

PHS undertakes the information disclosure above is true and takes entire responsibility to the laws for information of disclosed content.

**Attachments:**

- Resolution of BOD No. 03/42\_0719/NQ-HĐQT dated August 15<sup>th</sup>, 2019;
- Decision No. 168/2019/QĐ-TGD dated August 16<sup>th</sup>, 2019.

**Recipient:**

- As above;
- Archive Company Secretariat.

**PERSON TO IMPLEMENT  
INFORMATION DISCLOSURE**



**Mr. CHEN CHIA KEN**

Ref. No.: 03/42\_0719/NQ-HĐQT

Ho Chi Minh City, August 15<sup>th</sup>, 2019

**RESOLUTION**  
**BOARD OF DIRECTORS OF PHU HUNG SECURITIES CORPORATION**  
**(TERM 2019 – 2022)**

- Pursuant to Law on Enterprises 2014;
- Pursuant to the current Law on Securities;
- Pursuant to the current Charter of Phu Hung Securities Corporation;
- Pursuant to the Meeting Minutes No. 01/42\_0719/BB-HĐQT dated August 15<sup>th</sup>, 2019 of Board of Directors (BOD) of the Company;

**RESOLVE:**

**Article 1: Resolution 2**

The Board of Directors passed the Appointing and Registering the change of the representative of Phu My Hung Trading Office to State Securities Commission, main details as below:

Basing on the qualification and performance of Mr. Nguyen Quoc Dai and the resignation of Mr. Pham Thanh Tuong - Director of Phu My Hung Trading Office, The Board of Directors approves the following issues:

1. Appointing Mr. Nguyen Quoc Dai to be Deputy Director cum Head of Phu My Hung Trading Office to be responsible for all operations:
  - Full name: Mr. Nguyen Quoc Dai
  - Date of birth: December 8<sup>th</sup>, 1983
  - ID number: 025249915 dated March 10<sup>th</sup>, 2010 in HCMC.
2. Changing the representative of Phu My Hung Trading Office from Mr. Pham Thanh Tuong to Mr. Nguyen Quoc Dai registering to SSC.
3. Authorizing for General Director to implement and sign all documents related to the necessary procedures accordance with law about two above mentioned issues.

**Article 2: Organization and implementation**

Board of Directors, Inspection Committee, General Director and all the employees of Phu Hung Securities Corporation are responsible for implementing this Resolution from the signing date.

Received:

- As above Article 2;
- Archived at BOD Secretary;
- Archived at Company Secretariat;



For and on behalf of B.O.D.  
Chairman

Albert Kwang-Chin Ting

Số/ No.: 168/2019/QĐ-TGD

TP. Hồ Chí Minh, ngày 16 tháng 08 năm 2019

**QUYẾT ĐỊNH**  
V/v Bổ nhiệm chức vụ  
**DECISION**  
Re: *Position appointment*

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**TỔNG GIÁM ĐỐC CÔNG TY CỔ PHẦN CHỨNG KHOÁN PHÚ HƯNG**  
**GENERAL DIRECTOR OF PHU HUNG SECURITIES CORPORATION**

- Căn cứ Giấy phép hoạt động kinh doanh Chứng khoán số: 122/GP-UBCK,  
*Pursuant to the Securities Operation License No. 122/GP-UBCK,*
- Căn cứ chức năng, quyền hạn của Tổng Giám đốc Công ty Cổ phần Chứng khoán Phú Hưng,  
*Based on the functions and powers of General Director of Phu Hung Securities Corporation,*
- Xét năng lực, phẩm chất của Người lao động.  
*In consideration of employee's performance.*

**QUYẾT ĐỊNH**  
**DECIDE**

**Điều 1: Bổ nhiệm**  
**Article 1: Appointment**

- Ông/ Mr. : **NGUYỄN QUỐC ĐẠI** (Mã nhân viên: 0234)
- Chức vụ hiện tại : Trưởng phòng cao cấp  
*Current position : Senior Manager*
- Chức vụ mới : Phó Giám đốc kiêm Người quản lý Phòng Giao dịch Phú Mỹ Hưng  
*New position : Deputy Director cum Head of Phu My Hung Trading Office*
- Phòng : Quản lý tài khoản  
*Department : Account Management*

**Điều 2: Trách nhiệm và quyền hạn**  
**Article 2: Roles and responsibilities**

1. Thực hiện công việc trong phạm vi được giao.  
*Performing work within the scope of your roles & responsibilities.*
2. Tuân thủ chính sách của Công ty Cổ phần Chứng khoán Phú Hưng.  
*Complying with Phu Hung Securities Corporation's policies.*

**Điều 3: Trách nhiệm thực hiện**  
**Article 3 : Implementation**

Ông Nguyễn Quốc Đại, khối Nhân sự và các khối, phòng ban có liên quan chịu trách nhiệm thi hành quyết định này.

*Mr. Nguyen Quoc Dai, HR division and other relevant divisions, departments are responsible for implementing this decision.*

**Điều 4: Hiệu lực thi hành**  
**Article 4: Effectiveness**

Quyết định này có hiệu lực kể từ ngày 16/08/2019 cho đến khi Công ty có quyết định mới.

*This decision comes into effect as from August 16<sup>th</sup>, 2019 until the Company issues the new decision.*

**Nơi nhận/ Recipients:**

- Như Điều 3/ As in Article 3;
- 03 bản lưu song ngữ/ 03 bilingual original copies;
- Lưu tại NV, NS, BOM/ Archive at Employee, HR, BOM.



Ông/ ~~Mr.~~ CHEN CHIA KEN